

V.O.CHIDAMBARANAR PORT TRUST
VIGILANCE DEPARTMENT

REPORT ON VIGILANCE ACTIVITIES IN V.O.CHIDAMBARANAR PORT TRUST FOR
THE MONTH OF SEPTEMBER, 2011.

1) **Details of cases to be reviewed under FR 56 J :**

Sl. No.	Particulars	Class I & II	Class III & IV
1	No. of cases to be reviewed	-	1
2	No. of cases reviewed	-	-
		-	1
3	No. of cases to be studied	- Nil -	
4	No. of employees retired from services as a result of review		
5	Remarks if any		

2) **Position regarding preparation of Agreed list :** Agreed list for the year 2010 had been finalised.

Officers of doubtful integrity : Doubtful integrity list for the year 2010 had already been finalised.

3) **Surprise and Regular inspections carried out :**

Sl. No.	Conducted by	No. of Regular Inspection	No. of Surprise Inspection
1	Chairman	-	-
2	Deputy Chairman	-	-
3	Chief Vigilance Officer		
3	Secretary	-	-
4	Chief Engineer		
5	Chief Mechanical Engineer i/c	28	15
6	Financial Adviser & Chief Accounts Officer	1	1
7	Traffic Manager	5	4
8	Deputy Conservator i/c	2	2

Sl. No.	Conducted by	No. of Regular Inspection	No. of Surprise Inspection
9	Chief Medical Officer	2	3

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4) Simplification of rules, manuals and procedures with a view to minimise the elements of corruption.

The preventive actions of V.O.Chidambaranar Port Trust for simplification of the existing Rules/Procedures etc. are as under:

- (i) All the IMPORTANT activities of the Departments are monitored through the Quality Management System under the ISO 9001-2008 and also continual improvements made in the system. QMS II nd Surveillance Audit was conducted on 24th & 25th March, 2011.
- (ii) All the IMPORTANT activities to safe-guard the Environment are monitored through the Environment Management System under the ISO 14001:2004 and also continual improvements made in the system covering Air, Water and noise Pollutions including conservation of energy, paper, etc.
The EMS renewal audit scheduled to be conducted on August 2-3, 2011
- (iii) All activities connected with the Security of the Port Trust is monitored through the ISPS System, as the Port is an ISPS Compliant Port. The Port Trust had conducted Mandatory Renewal Audit in November, 2008 by the Certified Surveyors on behalf of Director General of Shipping, Govt. of India, Mumbai and renewed the ISPS Certification. The ISPS Internal Audit had been conducted from 24th to 30th April, 2009 by the Internal Auditors as per ISPS Port' Security Plan. The IB team conducted an inspection during June, 2011.
- (iv) These apart, each of the activities having financial transactions are covered in the Integrated Computer System (ICS) i.e. Marine Billings, Traffic Billings, etc. The transactions for processing of documents of port users with port under the Port community system as per the model of IPA is under testing. An EDI System is put in place for effecting monetary transaction between Port,Port users and banks.
- (v) E-payment to the Contractors, are made to ensure quick payments and minimise delays in effecting payment to the Contractors, The Port Trust, for disposal of materials, adopts the E-Auctions System, through web-site maintained by M/s.MSTC, Bangalore, Karnataka.
- (vi) The Web-enabled Land Management System for lease of Port Lands has been hosted in Port web-site on 13.8.2008 and further improvement are also made. Applications for renewal and allotment of land are also received on the on-line system.

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- (vii) **ERP :** In addition to existing facilities of ICS in VOCPT, ERP is now being introduced for E-governance,
- (viii) The 'Integrity Pact' Agreement as per CVC's Circular/Order No. 007/VGL/033 dated 4th December, 2007 (Office Order No.41/12/07) is implemented in the Port Trust by suitably including in the Tender Documents for works/procurements having threshold value of Rs.25 lakhs and above. This has also been placed before the Port Trust Board for information vide Agenda Item No.15 – Reso.No.109 in their meeting held on 02.01.2009. The Board has approved to enhance the threshold value to Rs. 5 Crore from 25 Lakhs for examination by IEM.
- (vii) In all the above said Monitoring Systems, there exists a periodical Internal and External Audits of the Manuals work process in respect of activities. This takes care of not only continual improvements by streamlining the existing Rules/procedures, etc, but also takes care of the grey areas in the system. However, the Vigilance department also makes a random check on each of the activities in the system.
- (x) As desired by the Ministry of Shipping, Road Transport & Highways, Govt. of India vide their Lr.No.C-30019/1/2009/PD-II dated 2nd March, 2009, based on the Minutes of Meeting of the Shipping Sector under Chairmanship of CVC, the data base of all Contracts/Procurements awarded is maintained in the system server itself from January, 2009 onwards in the Port Trust as communicated to Ministry vide this Deptt.'s Lr.No.Vig-19/3/2009/C2 dated 07.03.2009 please. The Chairman, in the HODs meeting held on 18.07.2009 had impressed upon all concerned to update the posting of contracts awarded/concluded along with the data for all contracts awarded/concluded as a “data-base” in the Port's web-site. Accordingly a Circular had been issued to all concerned and followed up regularly.
- 5) No. of vigilance enquiry completed : - Nil -
during the month of October, 2011

- 6) No. of transfers effected during : Nil
the month of October, 2011

As per the direction of Central Vigilance Commission's letter No.004/VGL/90 dated 1st May, 2008 regarding "Rotation of Officials working in Sensitive Posts", the Chairman in consultation with Dy.Chairman with other Heads of Department, had identified the Sensitive Posts in all Seven Departments of Port Trust. The identified Sensitive Posts has been circulated, to all the Departments concerned, with a direction, to transfer the incumbent working for Two/Three years in sensitive Posts. In response to said direction of Chairman of the Port Trust, transfers had been effected on priority.. This is for kind information.

DY. CHIEF VIGILANCE OFFICER.

MONTHLY REPORT OF THE CHIEF VIGILANCE OFFICER

ORGANISATION :
V.O.CHIDAMBARANAR PORT TRUST
PART A

MONTH : September, 2011

1. COMPLAINTS :

Source	Opening Balance	Received during the month	Total	Disposed	Balance	Age wise pendency (months)			
						<1	1 - 3	3 - 6	>6
CVC	-	-	-	-	Nil	-	-	-	--
Others	32	-	32	-	32	-	-	-	32

2. ACTION ON THE CVC ADVICE (Nos.) :

Stage of Advice	Type of Proceedings	Opening Balance	Received during the month	Total	Disposed	Balance	Age-wise pendency (Months)			
							<1	1 - 3	3 - 6	>6
I	Major		- Nil -							
	Minor		- Nil -							
II	Major		- Nil -							
	Minor		- Nil -							

3. DEPARTMENTAL INQUIRIES (Nos.)

(a) UNDER THE CVC JURISDICTION

Opening Balance	Received during the month	Total	Disposed	Balance	Age wise Pendency(Months)				
					< 6	6 - 12	12 - 18	>18	
					- Nil -				

(b) OTHERS :

<i>Opening Balance</i>	<i>Received during the month</i>	<i>Total</i>	<i>Disposed</i>	<i>Balance</i>	<i>Age wise pendency(Months)</i>			
					< 6	6 - 12	12 - 18	>18
- Nil -								

4. PROSECUTION SANCTIONS :

<i>Category</i>	<i>Opening Balance</i>	<i>Received during the month</i>	<i>Total</i>	<i>Sanction Granted</i>	<i>Sanction Refused</i>	<i>Balance</i>	<i>Age wise pendency (Months)</i>		
							<3	>3*	
Gr-A	- Nil -								
Gr-B									
Gr-C									
Gr-D									

* (details of cases pending for prosecution sanction beyond 3 months to be shown in annexure)

<i>Sl. No.</i>	<i>Name & Designation of Officer</i>	<i>Date of recommendation by Investigating Agency</i>	<i>Reason for pendency</i>
- Nil -			

5. Age wise data of disposed departmental proceeding (time taken to conclude the proceedings from the stage of registration of complaint till final disposal)(Nos)

<i>Nature of Proceedings</i>	<i><3 Months</i>	<i>3-6 Months</i>	<i>6 Months-1Year</i>	<i>1-2 Year</i>	<i>>2 Years</i>
Major	- Nil -				
Minor	- Nil -				

6. QUALITATIVE PARAMETERS OF VIGILANCE ADMINISTRATION

(a) MAJOR PENALTY PROCEEDINGS

<i>No of Cases</i>	<i>No. of officials against whom proceedings finalized (out of column 1)</i>	<i>Cut in pension (out of Column - 2)</i>	<i>Dismissal Removal Compulsory Retirement</i>	<i>Reduction in Lower time Scale / Rank</i>	<i>Other Major penalties</i>	<i>Major penalties other than Censure warning</i>	<i>Censure/Warning</i>	<i>No action</i>
- Nil -								

(b) MINOR PENALTY PROCEEDINGS

<i>No .of cases</i>	<i>No. of officials against whom proceedings finalized</i>	<i>Reduction to lower stage</i>	<i>Postpone-ment/withholding of Increment</i>	<i>Recovery from pay</i>	<i>With holding of promotion</i>	<i>Censure/War ning</i>	<i>No action</i>
- Nil -							

(c) RANK-WISE BREAK UP OF PUNISHMENT AWARDED

<i>Group</i>	<i>No. of Cases</i>	<i>No. of officials against whom proceedings finalised</i>	<i>Cut in pension</i>	<i>Dismissal /removal /Compulsory Retirement</i>	<i>Reduction to lower time scale/ in Rank</i>	<i>Other Major penalties</i>	<i>Minor Penalties other than Censure/ Warning</i>	<i>Censure/War ning</i>	<i>No action</i>
(1)Group- C									- Nil -
(2)Group- B									- Nil -
(3)Group-A upto DS/Dir level									- Nil -
(4)JS and above									- Nil -

7. LIST OF CASES WHERE MAJOR PENALTY IMPOSED :

<i>Jurisdiction</i>	<i>Sl. No.</i>	<i>Case No.</i>	<i>Name(s) of charged Officer(s)</i>	<i>Date of issue of charge sheet</i>	<i>Date of final order</i>	<i>Punishment</i>	<i>Remarks</i>
CVC				- Nil -			
Others				- Nil -			

PART B

(TO BE PREPARED BY THE CVO)

8. a) INVESTIGATION REPORTS SUBMITTED BY THE CVO W.R.T. ALL COMPLAINTS/AUDIT REPORTS INVESTIGATIONS OF WORKS ETC.(Nos.)

<i>Up to the end of previous month (from Jan.)</i>	<i>During the Month</i>	<i>Action Recommended on the cases of the Month *</i>			
		Major	Minor	Others	Closure
	<p>Investigation Reports : (i) One report on the procurement of Pilot-cum-VIP Launch has been submitted by the Chief Vigilance Officer. An Inspector from CBI, Chennai visited the Port on 29.2.2008 and has gone through all the files and documents and wherever required Xerox copies of the documents have been taken by him.</p> <p>(ii) A Report on the construction and delivery of 5 Nos. 30 T.Bollard Pull Tug for Sethusamudram Corporation Ltd. and V.O.Chidambaranar Port Trust has been submitted by the Chief Vigilance Officer. Based on the Report, the Ministry <u>vide</u> their letter No.C-30019/7/2007-Vig dated 5th October, 2007 addressed to Shri K.Suresh, the then Chairman, letter No.C-30019/7/2007-Vig dated 29.9.2008 addressed to Shri G.J.Rao, Chairman, VOCPT and letter No.C-30019/7/2007-Vig dated 25.11.2008 had sought for certain clarifications on the report submitted by then Chief Vigilance Officer. The clarifications were furnished in consultation with Marine Department of the Port and M/s. SCL, Chennai <u>vide</u> Port's Letter No.Vig/CVO/Report dated 22/23.10.2008, 30.10.2008, 11.11.2008 and letter No.M-SSCP/F1/2006 dated 22.11.2008 and dated 27.11.2008 by b</p> <p>Chairman to the Ministry of Shipping, Road Transport & Highways, New Delhi. Reply to the Ministry's letter seeking clarification on construction and delivery of five 30 T Bollard pull Tugs to VOCPT and SCL Ltd. <u>vide</u> Lr.No.C-30019/7/2007-vig, dt:26.10.2009, was sent to Ministry <u>vide</u> Port's Lr.No.M-31/2/2008-S, dt:4.11.2009. The Ministry in consultation with Central Vigilance Commission, advises closure of the complaint regarding Construction & Delivery of 5 Nos. - 30 T BP Tugs for SCL & VOCPT <u>vide</u> Lr.No.C 30019-7/2007/Vig dt.2.2.2011.</p>				

* This should include information provided on complaints in Col.I

b) RANK WISE DETAILS ON ACTION RECOMMEND ABOVE

Rank	Action Recommended (No. of officers)				
	Major	Minor	Others	Closure	Total
(1) Group C	Since the Reports are not finalized on the para 8 (a) above, the identification of Rank wise officers etc, may be indicated later.				
(2) Group B					
(3) Group A up to DS/Dir. Level					
(4) JS and above					
Total					

PREVENTIVE VIGILANCE

9. INSPECTION CONDUCTED BY THE CVO (Nos.) [CTE LIKE INSPECTIONS & OTHERS]

Type	Up to the end of the previous month	During this month	No. resulting in Vig. cases	Recovery effected (in Rs.)
Periodic	-	-	- Nil -	- Nil -
Surprise	-	-	- Nil -	- Nil -
Major Works	- Nil -			

10. SCRUTINY OF ANNUAL PROPERTY RETURNS

Total No. of APRs for the year 2010	No. scrutinised up to the end of the previous month	No. scrutinised during the month	Balance	Comments
The APRs from all Class I & Class II Officers of the Port have been called for and the same is under scrutiny.				

11. WHETHER FOLLOWING LIST PREPARED:

- A. Agreed List : Yes, for 2010.
 B. List of Officers of Doubtful Integrity : Yes, for 2010.

12. OTHER ACTIVITIES:

- (a)
- (i) The details of Port Community System (PCS) with the latest position has also been sent to CVC vide this office Lr.No.Vig-2/3/2007, dated 4.10.2007.
 - (ii) The Web-enabled Land Management System for Port Lands has been hosted in Port web-site on 13.8.2008. Applications for renewal and allotment are made to receive the same in the on-line system.
- (iii) **ERP:** In addition to existing facilities of ICS in VOCPT, ERP is now being introduced for development of E-governance.

b) Job Rotation : -NIL-

As per the direction of Central Vigilance Commission's letter No.004/VGL/90 dated 1st May, 2008 regarding "Rotation of Officials working in Sensitive Posts", the Chairman & CVO in consultation with Dy.Chairman with other Heads of Department, had identified the Sensitive Posts in all Seven Departments of Port Trust. The identified Sensitive Posts has been circulated to all the Departments concerned, with a direction to transfer the Sensitive Posts in which the incumbent working for Two/Three years. In response to said direction of Chairman of the Port Trust, transfers had been effected to the posts on priority. Further action is on hand in all the Heads of Department to effect the order of Sensitive Posts wherever applicable depending upon the number of years of service of incumbent had put in the respective Sensitive Posts. This is for kind information.

c) Whether QPR has been forwarded by CVO :
QPR for the quarter ended 30.06.2011 is sent to CVC with a
copy to MOS vide letter No.VIG-2/10/2011/C2/D.226 dt.22/07/2011.

d) Whether CTE type inspections conducted by CVO :
The examination of the files and other records is being carried out as per CVC guidelines in respect of the following works and certain observations raised, which are under compliance by the concerned Departments of the Port.

- (i) A. Widening the approach road to Berth No.7 Container Terminal
B. Providing approach road to coal stack yard inside Green Gate. File No.Vig-24/31/2009/C2.
Value of work : Rs.378.00 lakhs.
- (ii) Resurfacing of road over SBW & NBW with bituminous concrete. File No.Vig-24/68/2008/C2.
Value of work : Rs.1,33,24,057/-
- (iii) Strengthening of Road from V.O.C. Statue to Blue Gate passing through I.O.C. Road leading to Hare Island, Quarters road and Burial ground road at V.O.Chidambaranar Port Trust. File No.Vig-24/25/2009/C1.
Value of work : Rs.3,52,26,630/-.
- (iv) Infrastructure development in Hare Island for cargo storage at VOCPT. File No.Vig-24/83/2008/C1.
Value of work : Rs.32,00,040/-.
- (v) Providing High Mast lighting system to open coal stack yard. File No.Vig-24/23/2009/C1.
Value of work : Rs.47,66,279/-.
- (vi) Cleaning the Road, Paved areas and wharf areas by deploying Heavy duty Truck Mounted suction type Road Sweeping Machine inside Green Gate for 2 years. File No.Vig-24/36/2009/C1.
Value of work : Rs.48 lakhs.
- (vii) Manning operation and Maintenance of Fixed Fire Fighting system covering Coal-Oil-Jetty complex at TPT for 2 years. File No.Vig-24/13/2009/C1.
Value of work : Rs.30,43,200/- + ST
- (viii) Extending the chain link fencing from Ch.1500 m to Wharf wall at VOC wharf entrance inside Green Gate. File No.Vig-24/76/2009/C2.
Value of work : Rs.43.29 lakhs.

- (ix) Design, manufacture, supply, erection, testing & commissioning of High mast for illuminating service road & Approach arm road of south break water. File No.Vig-23/1/2010/C2.
Value of work : Rs.1.32 crores..
- (x) Conversion of LT Overhead line by UG Cable including street lighting arrangements in Shopping Center and Customs Qrs. and Labour Colony road. File No.24/94/2009/C2
Value of work : Rs.108,18,079/-.
- (xi) Extension of Cargo stacking platform for loading/unloading full rack length and raising the low level bituminous pavement area at Marshalling Yard west side of the covered Shed at VOCTPT .
(File No.Vig.24/93/2009/C1)
Value of work : Rs.52,00,932/-.
- (xii) Supply and installation and commissioning of latest model IP based ISDN Exchange at VOCTPT with all accessories
(File No.Vig.24/109/2009/C2)
Value of work : Rs.63,93,019/-
- (xiii) Providing power supply to water sprigler system at Coal stack yard (File No.Vig.24/110/2009/C1)
Value of work : Rs.39,84,354/-
- (xiv) Construction of North Cargo Berth - I at VOCTPT reg.
(File No.Vig.23/2/2010/C1)
Value of work : Rs.49.40 Crores.
- (xv) Repairing and resurfacing the roads and approaches at BerthNo.5
File No.Vig.23/4/2010/C2 Value of work :Rs.131,85,058/-
- (xvi) Supply , manning, operation and maintenance of 1 no. Petrol Boat of 30 knots on high speed on hire basis for three years.
File No.Vig.23/5/2010/C1
Value of work : Rs.184,23,260/- + Taxes.
- (xvii) Providing & fixing poly coated chailink fencing at NBW from red gate to Coal Jetty -II and SBW from 80T Wh.Bridge to shallow berth in VOCTPT (Vig.24/30/2009/C1)
Value of work Rs.305,54,294.75 .

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13. a) Whether tender put up on web-site : All tenders are posted in the Port's Web-site :

www.tuticorinport.gov.in

- b) Whether details of tenders above threshold value are put on web-site subsequent to finalisation of tenders (Attach details): Yes (Details attached as in Annexure-II)

14. REMARKS / COMMENTS ON VIGILANCE MATTERS OF THE ORGANISATION

- (i) The Vigilance Department keeps a regular watch on the sensitive areas of activities in the Port Trust. The Chief Vigilance Officer / Deputy Chief Vigilance Officer conduct surprise inspection of such areas with due reporting to Chairman of the Port Trust wherever required.
- (ii) As approved by the V.O.Chidambaranar Port Trust Board in its meeting held on 6.7.2007, a proposal for creation of posts to the Vigilance Department had been sent to the Ministry of Shipping, Road Transport & Highways, New Delhi for approval vide Lr.No.S-23/1/2007-E.I, dated 4.8.2007. The Ministry of Shipping, Road Transport & Highways, New Delhi has requested matching savings as per latest guidelines of Ministry of Finance for creation of posts vide Lr.No.A-11013/7/07-PE-II dated 20th March, 2009, which was sent to Administration Department for further action. Further, the Central Vigilance Commission, New Delhi has requested the Department of Shipping, New Delhi, to send the action taken report on the said proposal vide Lr.No.009/MIS/003-41032 dated 29.04.2009. This is for information please.
- (iii) The post of the Chief Vigilance Officer which was vacant from 10.12.2007 AN has been taken up with the Ministry of Shipping, Road Transport & Highways vide Port's Lr.No.S-4/26/2006-E.I/D.185, dated 21.1.2008 for filling up the same. The Chairman of this Port Trust was holding the additional charge of Chief Vigilance Officer of V.O.Chidambaranar Port Trust with effect from 22.9.2008, as per Port Trust's Order No.S-4/3/2008-E.I, dated 22.09.2008. Now Shri P.Ulaganathan, Chief Vigilance Officer, Cochin Shipyard Limited, Kochi has assumed additional charge as Chief Vigilance Officer in V.O.Chidambaranar Port Trust, Tuticorin, on 08.08.2011 as per

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The Ministry of Shipping has forwarded a copy of Lr.No.6W-CVO-61/118679 dt.9.2.2011 of CVC regarding appointment of CVOs/VOs in Organisations other than Ministry/Departments/PSUs/PSBs & Insurance Companies, and requested to furnish the information in the prescribed proforme vide Lr.No.I-26/5/2011/P.E.I dated 01.03.2011. Accordingly, the details on the present position of vacancies, for the post of Chief Vigilance Officer, as in the prescribed proforma had been sent to the Ministry of Shipping vide Port's Lr. No.Vig-3/6/2009/C1/D.137, dated 07.03.2011.

- (iv) An ' Integrity Pact ' Agreement has been introduced on the lines of CVC guidelines communicated vide Circular/Order No.007/VGL/033 dated 4th December, 2007 (Office Order No.41/12/07) in the Port by suitably annexing in the Tender Documents for Works/Procurements having thresh- hold value of more than Rs.25 lakhs and this has been noted by the Port Trust Board vide Agenda Item No.15 – Reso.No.109 in their meeting held on 02.01.2009. Further, the Board of Trustees of VOCPT in its Meeting held on 12.08.2010 Vide Resolution NO.30(Agenda Item No.4) has enhanced the Threshold Value to Rs.5 Crores from the existing Threshold Value of Rs.25 Lakhs for implementation of Integrity Pact . Further, action for signing of an MOU with Transparency International Limited is on hand as discussed in the Seminar of CVOs held on 18.03.2009 at the Ministry of Shipping, Road Transport & Highways, New Delhi taking into account the procedures issued by CVC vide Lr.No.008/CRD/013 dated 18.05.2009 (Circular No.10/5/09).
- (v) As desired by the Ministry of Shipping, Govt. of India and the Central Vigilance Commission. posting of Contracts/Procurements awarded/ concluded having the threshold value of Rs.25 lakhs & above and also all contracts entered into from 1.1.2009 and onwards as “Data Base” are posted in the Port's web-site. However, the Chairman in the HOD

Meeting on 18.07.2009 and again on 28.06.2010 directed all the Heads of Departments to update the said data in the web-site without fail and accordingly, issued Circular vide No.Vig-19/3/2009/C2/D.524 dated 20.07.2009 and 5.7.2010 for strict compliance. The Chairman, in the HOD meeting held on 27.08.2010 directed all the HODs that fixation of Threshold value had been approved by the VOCPT Board as Rs.5 Crores vide Agenda Item – 4 /Resolution No.30 in the Meeting held on 12.08.2010 and therefore in future all the contracts/Works of Rs.5 Crores and above should invariably be included for Integrity Pact Agreement by all the Departments. This is also being monitored by Vigilance Department.

(vi) Shri H.J.Dora, IPS (Retd.) has been appointed as Independent External Monitor in VOC Port Trust by Central Vigilance Commission .

Place : Tuticorin,
Date : .10.2011.

CHIEF VIGILANCE OFFICER.